<table>
<thead>
<tr>
<th>TSC Category</th>
<th>Corporate Governance and Policies</th>
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<tbody>
<tr>
<td>TSC</td>
<td>Compliance with Legal Regulations</td>
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<tr>
<td>TSC Description</td>
<td>Develop and implement organisation's compliance programmes with relevant legislative and regulatory requirements</td>
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<tr>
<th>TSC Proficiency Description</th>
<th>Level 1 RET-CGP-1001-1.1</th>
<th>Level 2 RET-CGP-3001-1.1</th>
<th>Level 3 RET-CGP-4001-1.1</th>
<th>Level 4 RET-CGP-5001-1.1</th>
<th>Level 5 RET-CGP-6001-1.1</th>
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<tr>
<td>Demonstrate working knowledge to ensure adherence to relevant legislative and regulatory requirements in carrying out day-to-day work activities</td>
<td>Analyse and interpret information on relevant legislation and compliance requirements</td>
<td>Manage compliance monitoring, report issues and challenges identified and formulate action plans on compliance in the event of non-compliance</td>
<td>Formulate organisation's compliance programmes to incorporate relevant legislative and regulatory requirements</td>
<td>Endorse organisation's compliance programmes in accordance with relevant legislative and regulatory requirements</td>
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### Knowledge
- Legislative and regulatory requirements for the relevant industry and operating environment
- Objectives of legislative and regulatory compliance
- Data on compliance
- Legislative and regulatory requirements for the relevant industry and operating environment
- Objectives of legislative and regulatory compliance
- Methodologies for both internal and external monitoring and evaluation
- Implications of non-compliance with relevant legislation and regulations
- Data on compliance
- Relevant legislation and regulations applicable to industry
- Appropriate sources of information on internal and external compliance requirements
- Implications of non-compliance with relevant legislation and regulations
- Data on compliance
- Relevant legislation and regulations applicable to industry
- Appropriate sources of information on internal and external compliance requirements
- Implications of non-compliance with relevant legislation and regulations
- Appropriate responses to address non-compliance
- Sources of non-compliance
- Compliance programmes and/or management systems
- Relevant stakeholders to advise on the management of non-compliance
- Propose and evaluate appropriate compliance programmes and/or management systems in identifying non-compliance
- Define roles, accountabilities and responsibilities of company directors, functions and committees in complying with corporate governance policies
- Regulatory frameworks applicable to the organisation and global leading practices
- Roles, accountabilities and responsibilities of company directors, functions and committees in corporate governance
- Corporate governance principles
- Operational plans for monitoring and internalising corporate governance in the organisation
- Performance indicators of operation of compliance programmes and/or management systems in identifying non-compliance
- Internal and external corporate governance controls
- Processes for operationalising the corporate governance policies
- Corporate governance policies
- Operational plans for monitoring and internalising corporate governance in the organisation

### Abilities
- Comply with internal and regulatory organisations’ policies and procedures to ensure compliance
- Identify and document possible areas of non-compliance in business activities in accordance with information format requirements
- Communicate key legislative and regulatory requirements and related management systems to relevant stakeholders to facilitate legislative compliance
- Identify legislative and regulatory requirements relevant to the organisation in consultation with relevant stakeholders
- Analyse information on relevant compliance requirements that regulate the business units from appropriate sources of information
- Propose and evaluate appropriate compliance programmes and/or management systems in consultation with relevant stakeholders
- Define roles, accountabilities and responsibilities of company directors, functions and various committees in complying with corporate governance policies
- Provide guidance for operationalising the corporate governance policies of the organisation
- Identify non-compliance based on relevant legislative and regulatory requirements
- Determine appropriate responses to address non-compliance within
- Interpret relevant legislation and regulations, legal documents, standards and codes of practice relevant to the business units
- Performance indicators of operation of compliance programmes and/or management systems in identifying non-compliance
- Determine appropriate responses to address non-compliance within
- Define roles, accountabilities and responsibilities of company directors, functions and committees in complying with corporate governance policies
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<th>• Evaluate and report the data on compliance to relevant stakeholders according to information format requirements</th>
<th>• Formulate recommendations and obtain sign-off for addressing areas of non-compliance with legal and other requirements in consultation with relevant stakeholders</th>
<th>• Develop detailed operational plans and procedures for compliance</th>
<th>• Monitor and review operational plans and procedures for compliance</th>
<th>• Evaluate operational plans to monitor and internalise corporate governance in the organisation for endorsement purposes</th>
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<td>scope of relevant legislative and regulatory requirements</td>
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